

(19)



Eur päisches Patentamt
Eur pean Patent Office
Office uropéen des brevets



(11) Publication number:

0 413 489 A2

(12)

EUROPEAN PATENT APPLICATION

(21) Application number: 90308649.4

(51) Int. Cl.⁵: **G02B 6/42**

(22) Date of filing: 06.08.90

(30) Priority: 17.08.89 US 394911

(43) Date of publication of application:
20.02.91 Bulletin 91/08(84) Designated Contracting States:
DE FR GB

(71) Applicant: **AMERICAN TELEPHONE AND
TELEGRAPH COMPANY**
550 Madison Avenue
New York, NY 10022(US)

(72) Inventor: **Pimpinella, Richard Joseph**
RD no. 3, Box 425B
Hampton, New Jersey 08827(US)
Inventor: **Segelken, John Maurice**
3 Pepperidge Road
Morristown, New Jersey 07960(US)
Inventor: **Tai, King Lien**
95 Highland Circle
Berkeley Heights, New Jersey 07922(US)
Inventor: **Thompson, Ross Job**
38 Aldersgate Circle
Budd Lake, New Jersey 07828(US)

(74) Representative: **Johnston, Kenneth Graham et
al**
AT&T (UK) LTD. AT&T Intellectual Property
Division 5 Mornington Road
Woodford Green Essex, IG8 OTU(GB)

(54) **Optical assembly comprising optical fiber coupling means.**

(57) Disclosed is a novel "hermetic" optical assembly. A radiation-transmissive member (10) seals an aperture (22) in the assembly housing. The member comprises two major surfaces and a recess (12) that extends from one surface towards the other, with a "septum" (14) between the bottom of the recess and the other surface. An optical or opto-electronic device (23) typically is mounted on the inside surface of the member, and the end of an optical fiber is maintained outside of the assembly in coupling relationship with the device. In a currently preferred embodiment the recess extends from the outward-facing surface of the member and serves to position the end of the optical fiber with respect to a device mounted in a "well" (11) in the inward-facing surface of the member. In another embodiment, the outward facing surface of the member comprises geometrical

features (e.g., recesses) that mate with corresponding features (e.g., protrusions) on a guide plate that serves to position the ends of a multiplicity of fibers with respect to devices mounted on the bottom of a recess extending from the inward-facing surface of the radiation-transmissive member. In all embodiments radiation is transmitted through the septum. In preferred embodiments the radiation-transmissive member is (100) Si, with the recess (and other features) produced by means comprising photolithography and anisotropic etching.

EP 0 413 489 A2

OPTICAL ASSEMBLY COMPRISING OPTICAL FIBER COUPLING MEANS

Field of the Invention

This invention pertains to optical connector assemblies, and more particularly, to sealed optical assemblies comprising means for coupling an optical fiber signal-transmissively to an optical or opto-electronic device within the assembly.

Background of the Invention

Apparatus that comprises an optical assembly [we intend "optical assembly" to include assemblies that comprise opto-electronic elements such as optical radiation detectors (e.g., PIN diodes), or optical sources (e.g., LEDs or laser diodes), assemblies that comprise only purely optical elements, as well as assemblies that comprise optical and opto-electronic elements], finds use in many important fields of technology, e.g., in optical communications, and is expected to find even wider use in the future, e.g., in optical computing. In many of these fields reliability of the optical assembly is of utmost importance. One known technique for achieving high reliability comprises placement of the optical and/or electro-optical components into a controlled environment, namely, into a sealed housing. This application deals with sealed optical assemblies comprising means for coupling an optical fiber to an optical or opto-electronic device.

Sealed optical assemblies of the relevant type are well known to the art. However, such prior art assemblies generally have one or more shortcomings. Among these shortcomings are complex design and/or assembly, relatively high cost, limited flexibility with regard to product code changes, a relatively high number of critical (i.e., leak-tight) seals, need for metalized fiber, use of precision-machined parts, and need for active alignment of the device relative to the fiber.

For instance, in one type of sealed assembly, exemplified by US patent 4,119,363, the fiber (frequently a metal-coated fiber) is threaded through a metal tube, a solder seal is formed between the fiber and the tube, the tube is inserted into a metal housing and soldered thereto, after active alignment of the fiber to a device within the housing. It will be noticed that such an assembly comprises two critical seals, namely, the solder joint between the tube and the housing, and the solder joint between the tube and the optical fiber. The reliability of the latter joint typically is highest if the fiber is metalized. Such metalization however is relatively costly, and frequently results in reduced fiber strength. Another example of this type of

sealed assembly can be found in US patent 4,719,358.

A second type of sealed assembly is exemplified by US patent 4,222,629. In this type of assembly the fiber is not brought inside the sealed assembly, and thus the need for forming a leak-tight seal between fiber and another member is eliminated. The '629 patent discloses a precision member that is soldered into an aperture in the assembly housing and which receives a mating body with the fiber therein. A transparent face plate is soldered into the end face of the precision member, such that light from the fiber is transmitted through the face plate and can be detected by a detector inside the sealed assembly. The detector is actively aligned with respect to the fiber. The connector of the '629 patent comprises a precision machined part which determines all critical alignment dimensions, and two critical seals, namely, the joint between the precision member and the assembly housing, and that between the face plate and the end face of the precision member. Furthermore, the design requires active alignment, namely, visual positioning of the device with respect to the fiber.

In view of the commercial significance of sealed optical assemblies, it would be highly desirable to have available an assembly that can have a smaller number of critical seals than do prior art assemblies, that does not require a precision machined member for coupling the fiber to the assembly, that does not require metalized fiber, and that optionally can be assembled without active alignment of the fiber relative to a device within the housing of the assembly. This application discloses assemblies having one or more of these characteristics.

Definitions and Glossary

By "optical" radiation we mean herein radiation of wavelength that can be transmitted through optical fiber. Currently this wavelength comprises the range from about 0.4 μm to about 2 μm , with the range 0.8-1.6 μm being most useful. However, it is expected that the range will be extended both at the short and the long wavelength end.

"Optical devices" are devices that operate on, or in response to, "optical" radiation and do not have an electrical input or output.

"Opto-electronic devices" are devices that emit, or respond to, "optical" radiation and have an electrical input or output.

A member is a "radiation-transmissive" mem-

ber herein if at least a portion of the member is relatively transmissive for a given wavelength of the "optical" radiation, where by "relatively transmissive" is meant that a useful fraction of the radiation power incident on the transmissive portion of the member is transmitted therethrough. In some applications 50% may constitute a "useful" fraction, but in communications applications it is typically necessary that the total insertion loss associated with the transmissive member be substantially less than 3 db.

Summary of the Invention

The invention is as defined by the claims. In a particular embodiment it is a novel optical assembly that comprises advantageous means for optically coupling the fiber to an optical or optoelectronic device (herein collectively "device"). The optical assembly can overcome many of the problems associated with the prior art. For instance, in preferred embodiments the inventive assembly can have fewer critical seals than prior art assemblies, and thus be less prone to failure due to leakage. Furthermore, the inventive assembly need not comprise any precision machined parts, resulting typically in lower cost. Still furthermore, a preferred inventive assembly frequently does not require active alignment of the device with respect to the fiber, as typically is the case in prior art assemblies.

These and other advantages can be obtained, inter alia, through the use of a radiation-transmissive member of novel geometry. The member can be made from a variety of materials, including, for instance, semiconductors, quartz or glass. In currently preferred embodiments the member is a Si body that can be produced with high accuracy by standard semiconductor processing techniques. The member serves to seal an aperture in the housing of the optical assembly, the seal between the member and the housing typically being the only critical seal associated with the means for coupling the fiber to the device. By a "critical" seal we mean a joint which has to be leak-tight to within predetermined limits to yield a "hermetic" optical assembly.

More particularly, a preferred optical assembly comprises a housing with at least one aperture therein, at least one device inside the housing, and optical fiber connector means adapted for sealing the aperture, for receiving the end of an optical fiber, and for maintaining the end of the fiber in optical coupling relationship with respect to the device. The connector means comprise the radiation-transmissive member. The optical fiber does not extend into the housing but terminates at

or spaced from the outwardly-facing side of the radiation-transmissive member.

The radiation transmissive member is secured to the housing such that the member seals the aperture in the housing. The member has a first surface and a second surface, at least part of the second surface preferably being substantially parallel with the first, with the first surface facing outwardly with respect to the housing. In a first embodiment the member comprises a first recess that extends from the first surface part way to the second surface, leaving a "septum" of material between the bottom of the recess and the second surface. In that embodiment the device typically is mounted on the second surface of the member in predetermined relationship with regard to the recess, but could be formed, also in predetermined relationship with regard to the recess in the member, by known semiconductor processing techniques. Both these possibilities are contemplated if the phrase "the device is on the second surface of the member", or its equivalent is used herein.

In a second embodiment the member comprises a recess that extends from the second surface part way to the first surface, leaving a "septum" of material between the bottom of the recess and the first surface. The device typically is mounted on the bottom of the recess.

The connector means also comprise means for maintaining the end of the optical fiber in optical coupling relationship with the device, with the radiation to be transmitted between fiber and device through the septum. In the first embodiment these means for maintaining the fiber in coupling relationship comprise the recess in the radiation-transmissive member, which recess, inter alia, defines a reference position on the member. In the second embodiment these means comprise geometrical features on or in the first surface of the member.

In one exemplary first embodiment the end of the optical fiber is directly inserted into the recess in the radiation-transmissive member. In other exemplary first embodiments a focusing means, e.g., a spherical lens, is inserted into the recess, or maintained in fixed relationship relative to the recess, and the end of the optical fiber is maintained in predetermined position relative to the focusing means by appropriate means. The latter embodiments can take the form of a disconnectable connector, resulting in a sealed optical assembly to which fiber can be connected easily and quickly.

Exemplary second embodiments of the invention comprise multifiber connecting means using a radiation-transmissive member that either contains a multiplicity of recesses or a recess adapted for accommodating a multiplicity of devices. The fiber ends can abut against the first surface of the member, or focusing means can be interposed between

the fiber ends and the surface.

Brief Description of the Drawing

FIG. 1 schematically depicts an exemplary radiation-transmissive member;

FIGS. 2 and 3 show schematically portions of exemplary optical assemblies according to the invention;

FIGS. 4, 5 and 6 depict schematically portions of exemplary optical assemblies with optical fibers attached thereto;

FIG. 7 schematically depicts a part of an exemplary optical assembly with fiber coupling means;

FIG. 8 shows schematically a portion of an exemplary multi-recess member according to the first embodiment of the invention;

FIG. 9 depicts schematically a portion of an exemplary radiation-transmissive member of a multi-fiber connector according to the second embodiment of the invention; and

FIG. 10 schematically shows the relevant part of an exemplary optical assembly with fiber coupling means according to the second embodiment of the invention.

No attempt has been made to depict true sizes or proportions. Like features are designated by like numerals in different Figures.

Detailed Description of Some Preferred Embodiments

Optical assemblies according to the invention typically comprise a novel radiation-transmissive member. The member seals an aperture in the housing of the assembly and comprises at least one recess that extends part way through the member, providing in some embodiments a reference feature that helps to position the fiber and the associated device in coupling relationship. Although the invention is not so limited, the discussion below will generally be in terms of Si members.

Exemplarily the comprises features of "optical micro-assemblies" (OMAs) of the type disclosed in US patents 4,779,946 and 4,826,272, with one crucial difference. Whereas prior art OMAs comprise a through-aperture that extends from a first to a second surface of the OMA, the inventive radiation-transmissive member does not have a through-aperture. Instead the member comprises at least one recess that extends from one of the surfaces towards the other one, leaving a septum of material between the bottom of the recess and the other surface. In the previously referred to first and second embodiments of the invention the re-

cess extends, respectively, from the first towards the second surface, and from the second towards the first surface.

When the member is a Si member, then it can readily be produced by known semiconductor processing techniques, optionally including the batch testing and/or burn-in techniques disclosed in US patent application Serial No. 353,264, filed May 17, 1989 for R. J. Pimpinella and J. M. Segelken and titled "Method of Manufacturing Optical Assemblies".

FIG. 1 schematically depicts an exemplary radiation-transmissive member 10 according to the invention. Unless otherwise noted, the following discussion is in terms appropriate for the first embodiment of the invention. The member has first and second surfaces 16 and 17, respectively, with 17 comprising a "well" 11 and side-walls 13. Recess 12 extends from 16 towards 17, with septum 14 forming the bottom of the recess. Generally, the thickness of the septum is not critical, provided that the septum has enough mechanical strength to withstand the anticipated pressure differential between the inside of the assembly and the ambient. Exemplarily, the thickness is in the range 20-60 μm . The recess is shown with sloping side-walls. This feature is optional, as is the presence of bevel 15. Furthermore, the presence of the well is optional. However, some currently preferred embodiments of the invention comprise a Si radiation-transmissive member having a geometry substantially as depicted schematically in FIG. 1.

FIG. 2 schematically shows a portion of an exemplary inventive assembly. The assembly housing comprises a first member 20 and a second member 21, with 21 to be hermetically sealed to 20, typically at the end of the assembly process, exemplarily by means of conventional solder 28. The housing can be metal, ceramic, or any other appropriate material. The side wall of 20 comprises a fiber access aperture 22, and radiation-transmissive member 10 sealingly covers 22, with recess 12 facing outwardly and being concentric with 22. Typically member 10 is soldered to the side wall of 20. Concentricity can be achieved by any appropriate technique, exemplarily by means of a hot "finger" that is inserted through 22 and which both heats and positions member 10. Lowering the temperature of the hot finger results in freezing of solder 27, with the member in appropriate position relative to fiber access aperture 22.

Although not a requirement, device 23 (exemplarily a laser, LED, or detector) advantageously is mounted on the second surface of member 10 prior to the latter's attachment to the housing. In any case, the device is positioned in predetermined position relative to recess 12, exemplarily utilizing alignment marks on the second sur-

fac of the member, as described in the '272 patent. Electrical connections are established between device 23 and conductor means (not all shown) on member 10. Exemplarily, such contact between the back side of the device and an appropriate conductor pad is established by means of conventional wire bond 24. Similarly, wire bond 25 provides electrical connection between conductor means on the bevel of member 10 with a connector pad on circuit board, AVP-type Si substrate, or ceramic HIC 26.

A further exemplary embodiment is shown in FIG. 3, wherein contact block 31 has a conductor pattern 32 thereon, such that electrical contact can be established between 32 and conductor means 30 on member 10, e.g., by means of solder. Wirebond 25 provides electrical access to device 23.

Those skilled in the art will understand that electrical contact typically has to be made also to the front face of device 23, and will know techniques for doing so. See, for instance, the '946 and '272 patents. Exemplarily, the inward-facing surface of the radiation-transmissive member carries an appropriate conductor pattern, the front face of the device is appropriately metalized, and a conductive connection between the conductor pattern and the metalization is established by means of solder.

In currently preferred embodiments member 10 is a (100) oriented single crystal Si body that is produced by known techniques. In this case, well 11 and recess 12 both advantageously are produced by anisotropic etching. Although the recess advantageously has a flat bottom this is not a requirement. Both pyramid-shape and truncated pyramid-shape recesses can readily be produced by anisotropic etching of Si or other semiconductors, as is well known to those skilled in the art. However, radiation-transmissive members need not consist of semiconductor material, and in other materials (e.g., glass) the recess may advantageously be of truncated cone or other "circular" shape.

Although not an absolute requirement, it is advantageous to use anti-reflection (AR) coated radiation-transmissive members. For instance, if the member is a Si member then, due to the relatively high refractive index of Si ($n \sim 3.5$), the reflection loss at each air/Si interface would be about 30% at both 1.3 and 1.55 μm . Since there are 2 air/Si interfaces, an inventive assembly without AR coating might introduce a loss of more than 3 db. On the other hand, by using an AR-coated member (exemplarily, 0.17 μm thick cubic Zirconia films on both sides of the septum) it has been possible to produce connector assemblies that had substantially lower insertion loss, in preferred embodiments less than 0.1 db. AR coatings, including a tech-

nique for determining the appropriate thickness for given coating material, for selecting an appropriate coating material, and for depositing the coatings, are well known to those skilled in the art.

As shown in FIG. 4, a fiber 40 can be inserted through access hole 22, advanced until the end of the fiber rests against the side walls of recess 12, and fixed in this position by appropriate means, e.g., by adhesive means 41. It will be noted that this fiber attachment can be carried out after the assembly has been sealed, and could even be carried out in the field. This feature of the inventive assembly makes it easy to switch between manufacturing codes that call for different lengths of fiber. It will also be noted that the bond between the fiber and the walls of the access hole need not be hermetic. Typically, fibers carry a polymer coating 42 which can be, but need not be, removed from a portion of the fiber prior to insertion of the fiber into the hole. Typically a connector assembly comprises strain relief means. Such means are conventional and are not shown in the figures.

A further exemplary embodiment is schematically depicted in FIG. 5, wherein lens 50 is held in the recess by appropriate means (e.g., adhesive means) that are not shown, with the curvature and refractive index of the lens, as well as the distances between the lens and the device 23, and the end of the fiber 41 and the lens, selected such that the lens focuses the light from the fiber onto the device, or focuses light emitted from the device onto the fiber, as the case may be. Lenses appropriate for this use are known in the art. Exemplarily, lens 50 is a sapphire sphere.

A still further embodiment is schematically shown in FIG. 6, wherein spherical lens 50 is held by appropriate means (e.g., a press fit) in access hole 22, with the distances and optical properties chosen such that appropriate focusing takes place.

The inventive assembly can not only be provided with a fiber "pig-tail" but can also be connectorized such that a fiber can be easily coupled to the assembly. FIG. 7 schematically depicts an exemplary connectorized assembly. The connector portion of the assembly is similar to a connector disclosed, for instance, in US patent application Serial No. 314,683, incorporated herein by reference. The mating connector part can be substantially as depicted in FIG. 5 of the '683 application and is not shown.

FIG. 7 shows an assembly that comprises a housing part 20' similar to part 20 of FIG. 2, comprising a stub 75 which mates with connector housing 71. Stub 75 also comprises a recessed portion which receives connector "plug" 70, exemplarily a ceramic capillary cylinder. A length of optical fiber 40' is inserted through the capillary bore 76 of 70 into access hole 22 and secured

therein. The excess fiber is then removed and the plug endface polished, all in known manner. Connector housing 71 is then slipped onto stub 75 and attached thereto, e.g., by soldering. Finally, alignment sleeve 72 is placed over the plug and secured axially by means of retainer ring 73. Connector housing 71 also comprises means 74 for engaging an opposing connector housing (not shown), exemplarily by means of a twist-and-lock type arrangement. Such means are well known in the connector art. Briefly, the opposing connector terminal comprises a plug similar to plug 70 that fits into sleeve 72 and is held radially aligned thereby. Typically the terminal comprises spring means that urge the terminal plug towards plug 70 such that the two opposing plug end faces are held in contacting relationships, with the fibers in the respective plugs being in signal-transmissive relationship.

So far, the discussion has primarily been in terms of single-fiber assemblies. However, the invention is not so limited. For instance, as schematically depicted in Fig. 8, the radiation-transmissive member 10', could be similar to member 10 but have a multiplicity of recesses 12i, (i = 1, 2, n) with housing 20 having a corresponding multiplicity of appropriately placed access apertures.

Devices are mounted on the inward-facing side of the respective septa, and electrical contact established thereto, all substantially as discussed in reference to a single-fiber assembly. The multi-recess member is then attached to the assembly housing so as to seal the aperture therein, fibers are inserted through the access apertures and affixed thereto in optical coupling relationship with the respective device, all substantially as discussed.

The following discussion, unless noted otherwise, pertains to the previously referred-to second embodiment of the invention. FIG. 9 shows radiation-transmissive member 90 having first and second surfaces 16 and 17, respectively. Recess 12' extends from the second towards the first surface, with septum 14' between the bottom of the recess and the first surface. The member also comprises geometrical features exemplified by indentations 91, that serve to maintain an opposing member in appropriate relationship with respect to recess 12'. A device typically is mounted in the recess. It will be appreciated that member 90 can comprise a multiplicity of recesses, or that a recess can be dimensioned such that it can accommodate a multiplicity of devices. It will also be appreciated that member 90 typically will comprise a multiplicity of indentations (or other geometrical features), and that these features can be arranged in any desired fashion. In a currently preferred embodiment member 90 is a (100)-oriented Si member, the recess (or recesses) and the indentations are

formed by anisotropic etching in known manner. Both sides of the septum 14' preferably are antireflection coated.

FIG. 10 schematically depicts the relevant portion of an exemplary inventive assembly according to the second embodiment. The assembly housing comprises first and second members 20 and 21, substantially as described in connection with FIG. 2. The first member comprises plug access opening 101 and plug guide means 102. Radiation-transmissive member 90 is attached to housing member 20' such that device 23 is in predetermined position relative to geometrical features 91. Numeral 103 refers to the female portion of twist-and-lock-type (bayonnette) means for securing male connector member 104 to the assembly.

The male connector member comprises a guide member 105 that comprises a through-aperture substantially of the type disclosed in the '946 patent, but that furthermore comprises features that cause the guide member to mate with the geometrical features in (or on) the first surface of member 90. If features 91 are recesses then features 106 are protrusions. However, if desired, member 90 could comprise protrusions and 105 recesses. Other mating features are also possible and are contemplated. The guide member is attached concentrically to tubular member 107, which in turn is held slidably within housing members 108 and 109. The latter are fastened together by any appropriate means, e.g., thread means. Spring means 110 surround a portion of tubular member 107 and urge the member forward. Lip 111 limits the forward motion of 107. Coated fiber 42 is inserted into 107, with a length of bare fiber 40 extending into the through-aperture of 105 and positioned thereby with regard to protrusions 106. Stubs 112 are used to secure 104 to the mating housing assembly.

It will be appreciated that FIG. 10 is exemplary only, and that other embodiments of the invention are possible. For instance, the assembly of FIG. 10 can readily be modified to yield a multi-fiber connector. In that case, the recess 12' advantageously is trough-like, with fiduciary marks or other means provided to permit appropriate placement of devices on the bottom of the recess. Guide member 105 in that case comprises a plurality of through-apertures, all positioned and dimensioned to result in coupling between the fibers and the corresponding devices.

Although not an absolute requirement, "female" member 90 and "male" member 105 advantageously are fabricated from single crystal (100) Si wafers by a method that comprises standard photolithography and anisotropic etching, substantially as described in the '946 and '272 patents. In that case, the sides of the protrusions, the side

walls of the through-apertures, and the side walls of the recess in the female member will all have the same (crystallographically defined) angle (54.74 degrees) with respect to the (100) plane. The through-aperture can be dimensioned such that the optical fiber comes to rest against the side wall of the aperture or such that the fiber can just clear the opening. In the latter case the fiber typically would be scribed and cleaved.

The through-apertures (and corresponding devices) need not be placed in a linear array. For instance, for some applications it may be advantageous to arrange the apertures in two or more parallel linear arrays, with neighboring arrays offset by half the (common) spacing between two neighboring apertures in an array. Such an arrangement lends itself to coupling multiple fiber ribbons to an optical assembly. Exemplarily, a commercially available fiber ribbon cable contains two or more fiber ribbons, each ribbon typically containing 12 equally spaced fibers. Optionally grooved guide plates could be placed between adjacent fiber ribbon ends, to facilitate simultaneous insertion of all the fiber ends into the respective through apertures of an appropriate male member.

The above described multi-fiber connector means are not limited to use in assemblies according to the invention (i.e., comprising a radiation-transmissive female member), but could, with minor modifications, also be used in fiber-to-fiber connector means in which the female member also comprises through-apertures.

Although the figures herein show cleaved fibers, lensed fibers can be used also, as will be appreciated by those skilled in the art. For single mode applications lensed fibers are current preferred.

Claims

1. An optical assembly comprising
 - a) a member (20) having at least one aperture (22) therein;
 - b) at least one optical or electro-optical device (23) (collectively "device"); and
 - c) optical fiber connector means (10) adapted for sealing the aperture, for receiving the end of an optical fiber, and for maintaining the end of the fiber in optical coupling relationship with respect to the device;

CHARACTERISED IN THAT the optical fiber connector means comprise a radiation-transmissive member (10) attached to the housing such that it seals the aperture in the housing; wherein the radiation-transmissive member has a first (16) and a second surface, with the first surface (16) facing the first member (20), the radiation-transmissive

member comprising a recess (12) that extends from on (16) of the surfaces towards the other surface, leaving a "septum" (14) of material between the bottom of the recess and the other surface; the device (23) being maintained in predetermined position with respect to the recess; the connector means further comprising means (41) for holding the end of the optical fiber in optical coupling relationship with the device, with the radiation to be transmitted through the septum.

2. Assembly according to claim 1, wherein the radiation-transmissive member comprises single crystal Si, with the first surface being substantially parallel with a (100) crystal plane, and further comprising an antireflection coating on at least one side of the septum.

3. Assembly according to any of claims 1 and 2, wherein the recess extends from the first surface towards the second surface, and the device (23) is mounted on the second surface of the radiation-transmissive member, or is formed in the radiation-transmissive member.

4. Assembly according to claim 3, wherein the second surface comprises a depression, termed a "well", with the device mounted in the well.

5. Assembly according to claim 3, wherein the means for holding the end of the optical fiber in optical coupling relationship with the device comprise the recess (12).

6. Assembly according to claim 5, wherein the end of the optical fiber is to extend into the recess and to be positioned thereby with respect to the device, or wherein focusing means (50) are positioned between the septum and the end of the optical fiber.

7. Assembly according to claim 1, wherein the recess extends from the second surface towards the first surface, and the device is mounted on the bottom of the recess.

8. Assembly according to claim 7, wherein the means for holding the end of the optical fiber in optical coupling relationship with the device comprise a first geometrical feature on the first surface of the radiation-transmissive member and a corresponding second geometrical feature on a surface of a guide member, the guide member comprising a through-aperture, the end of the optical fiber to be held within the through-aperture, the second geometrical feature adapted for mating with the first geometrical feature, whereby the end of the optical fiber is maintained in optical coupling relationship with the device.

9. Assembly according to claim 3, wherein the means for holding the end of the optical fiber in coupling relationship with the device comprise

- i) a first basically cylindrical "plug" (70) with an axial through-bore therein, the first plug attached to the member (20) such that the through-bore is essentially co-axial with the aperture in the

member (20);

- ii) a length of optical fiber held within the through-bore and extending towards the recess, an end of the length of fiber being in optical coupling relationship with the device; 5
- iii) an alignment sleeve (72) substantially surrounding a part of the first plug and extending beyond the first plug; and
- iv) connector housing means (71) also attached relative to the member (20), substantially surrounding the first plug and the alignment sleeve, and comprising means for engaging an opposing optical fiber connector means such that an optical fiber in the opposing connector means is in optical coupling relationship with the length of optical fiber. 10 15

10. Assembly according to claim 7, wherein the means for holding the end of the optical fiber in coupling relationship with the device comprise the radiation-transmissive member and a guide member that comprises a first and a second surface and a multiplicity of through-apertures extending between the first and second surfaces of the guide member, each through-aperture adapted for receiving therein an end of an optical fiber, the guide member furthermore comprising at least one protrusion positioned and shaped so as to matingly engage with a corresponding depression in the first surface of the radiation-transmissive member, the guide member also comprising single crystal Si, with a (100) crystal plane being substantially parallel to the first surface of the guide member. 20 25 30

35

40

45

50

55

8

FIG. 1

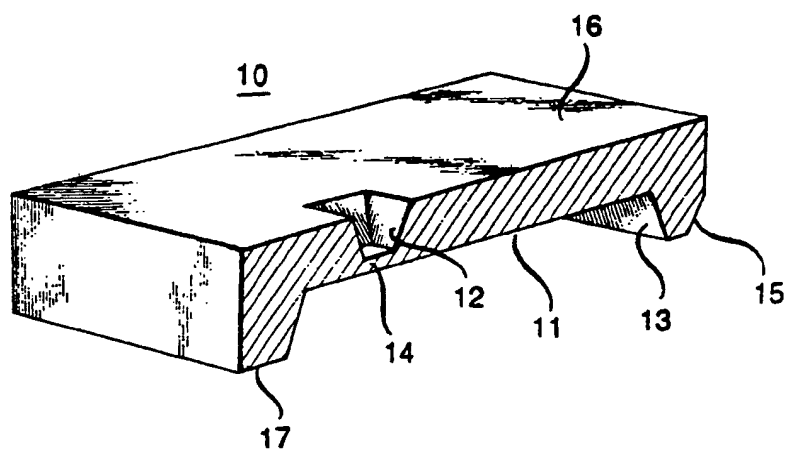
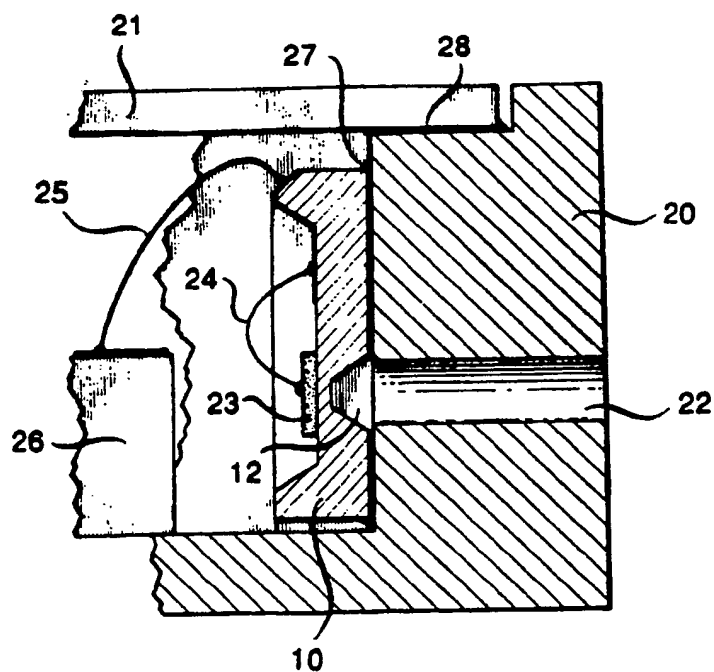


FIG. 2



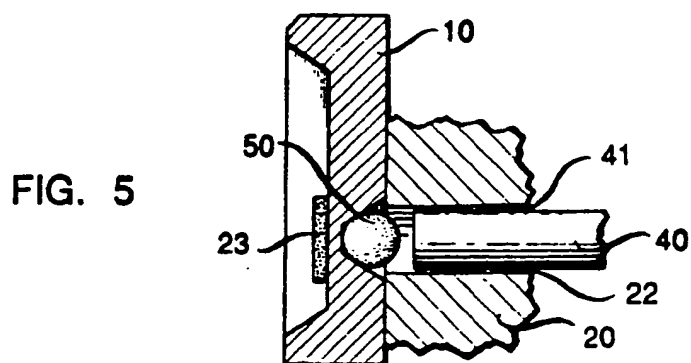
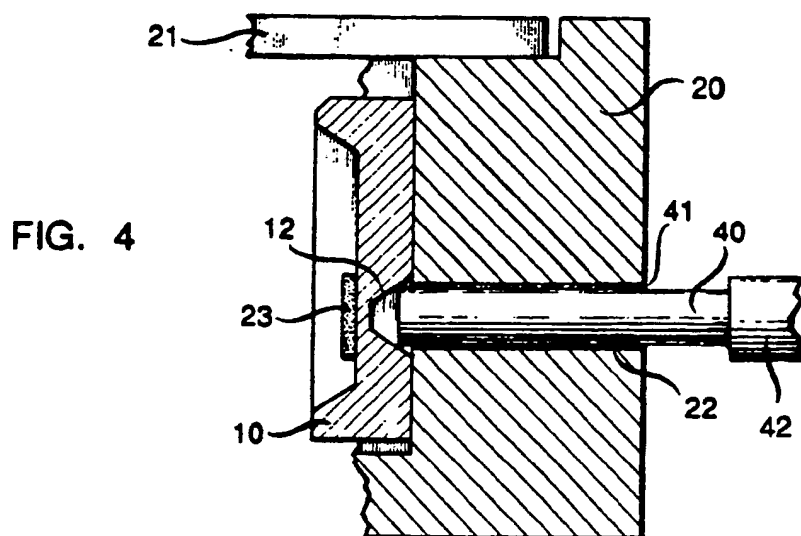
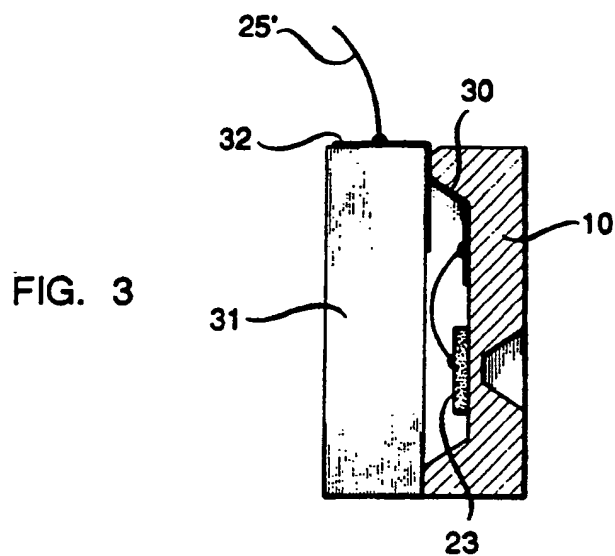


FIG. 6

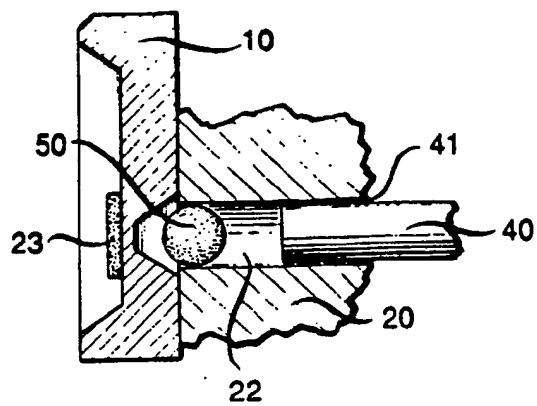


FIG. 7

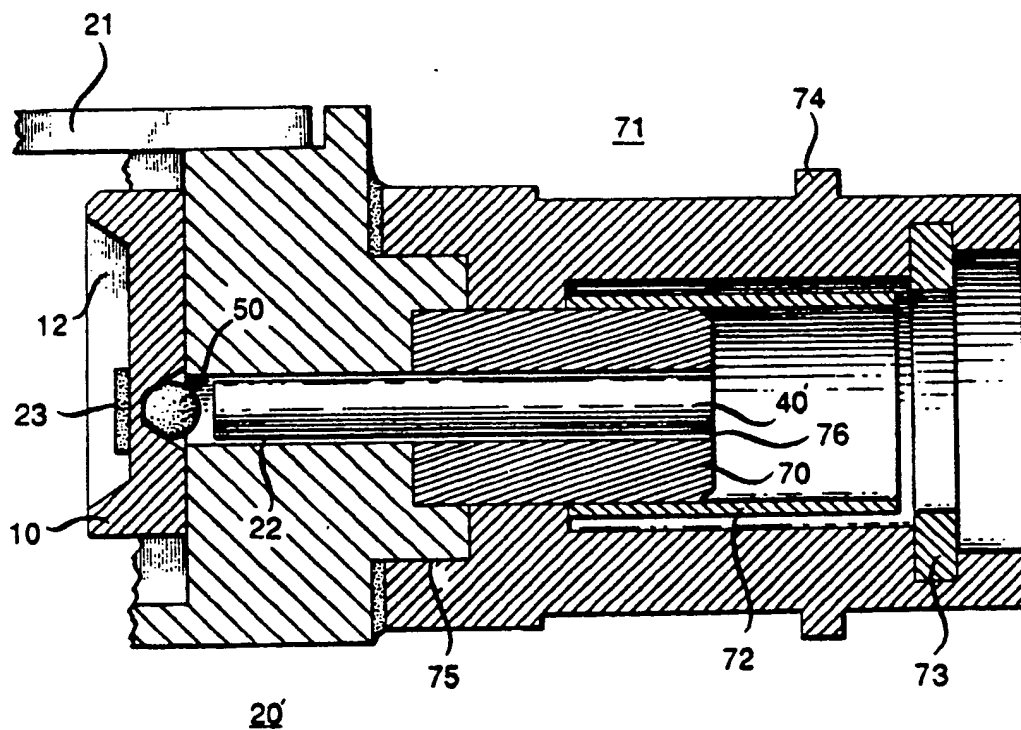


FIG. 8

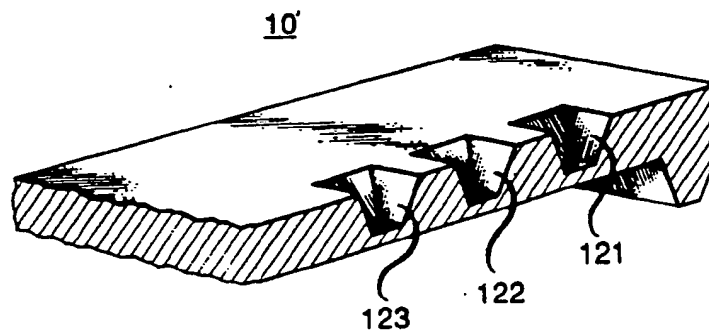


FIG. 9

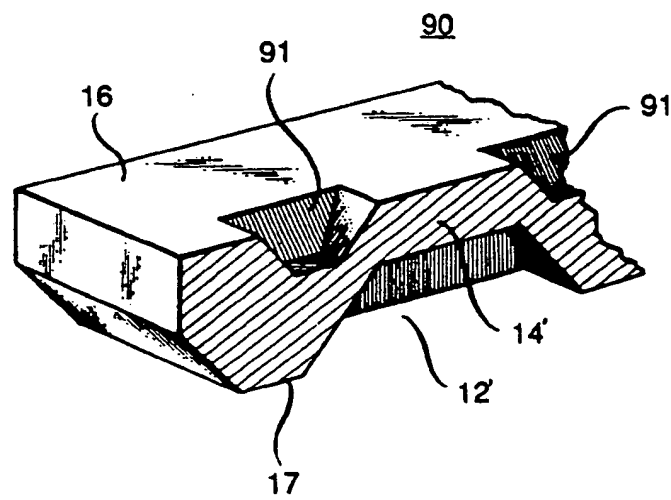
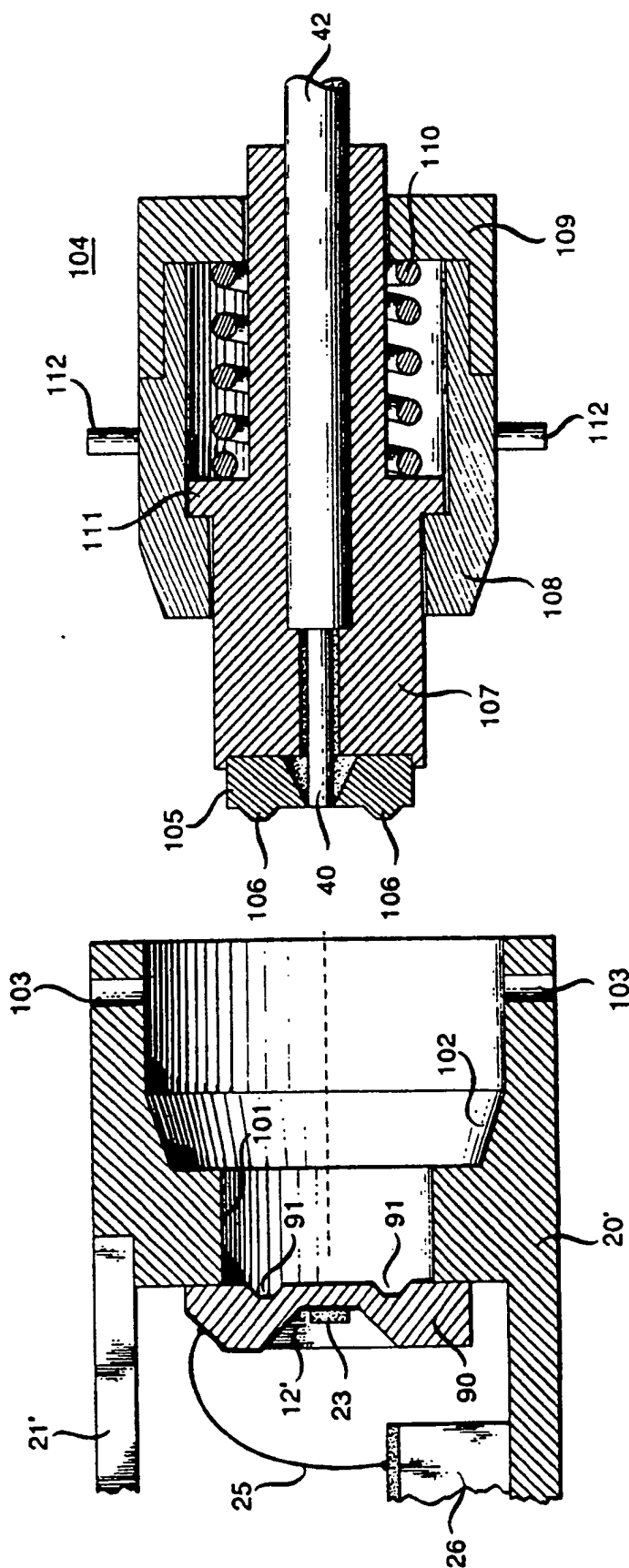


FIG. 10





Europäisches Patentamt
European Patent Office
Offic européen d s br vets



⑪ Publication number:

0 413 489 A3

⑫

EUROPEAN PATENT APPLICATION

⑪ Application number: 90308649.4

⑤① Int. Cl.⁵: **G02B 6/42**

⑫ Date of filing: 06.08.90

③① Priority: 17.08.89 US 394911

④③ Date of publication of application:
20.02.91 Bulletin 91/08

⑧④ Designated Contracting States:
DE FR GB

⑧⑧ Date of deferred publication of the search report:
08.01.92 Bulletin 92/02

⑦① Applicant: **AMERICAN TELEPHONE AND
TELEGRAPH COMPANY**
550 Madison Avenue
New York, NY 10022(US)

⑦② Inventor: **Pimpinella, Richard Joseph**
RD no. 3, Box 425B
Hampton, New Jersey 08827(US)
Inventor: **Segelken, John Maurice**
3 Pepperidge Road
Morristown, New Jersey 07960(US)
Inventor: **Tai, King Lien**
95 Highland Circle
Berkeley Heights, New Jersey 07922(US)
Inventor: **Thompson, Ross Job**
38 Aldersgate Circle
Budd Lake, New Jersey 07828(US)

⑦④ Representative: **Johnston, Kenneth Graham et
al**
AT&T (UK) LTD. AT&T Intellectual Property
Division 5 Mornington Road
Woodford Green Essex, IG8 OTU(GB)

⑤④ Optical assembly comprising optical fiber coupling means.

⑤⑦ Disclosed is a novel "hermetic" optical assembly. A radiation-transmissive member (10) seals an aperture (22) in the assembly housing. The member comprises two major surfaces and a recess (12) that extends from one surface towards the other, with a "septum" (14) between the bottom of the recess and the other surface. An optical or opto-electronic device (23) typically is mounted on the inside surface of the member, and the end of an optical fiber is maintained outside of the assembly in coupling relationship with the device. In a currently preferred embodiment the recess extends from the outward-facing surface of the member and serves to position the end of the optical fiber with respect to a device mounted in a "well" (11) in the inward-facing surface of the member. In another embodiment, the outward facing surface of the member comprises geometrical

features (e.g., recesses) that mate with corresponding features (e.g., protrusions) on a guide plate that serves to position the ends of a multiplicity of fibers with respect to devices mounted on the bottom of a recess extending from the inward-facing surface of the radiation-transmissive member. In all embodiments radiation is transmitted through the septum. In preferred embodiments the radiation-transmissive member is (100) Si, with the recess (and other features) produced by means comprising photolithography and anisotropic etching.

EP 0 413 489 A3



European
Patent Office

EUROPEAN SEARCH REPORT

Application Number

EP 90 30 8649

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.5)
P,Y	DE-A-3 833 096 (SIEMENS) * The whole document *	1-7	G 02 B 6/42
P,Y	US-A-4 890 895 (P.M. ZAVRACKY et al.) * Figures 2-4; abstract; column 2, line 68 - column 5, line 15 *	1-7	
X	PATENT ABSTRACTS OF JAPAN, vol. 4, no. 175 (E-36)[657], 3rd December 1980; & JP-A-55 121 684 (FUJITSU) 18-09-1980 * The whole document *	1	
A	IDEM	10	
D,A	EP-A-0 305 112 (AT & T) * Figures 1,5,7,8; abstract; columns 5-9; claims 1-3,5,6 *	1-6	
A	PATENT ABSTRACTS OF JAPAN, vol. 5, no. 11 (E-42)[683], 23rd January 1981; & JP-A-55 138 891 (FUJITSU) 30-10-1980 * The whole document *	1	
D,A	US-A-4 119 363 (I. CAMLIBEL) * Abstract; figure 1; column 1, line 58 - column 2, line 53 *	9,10	TECHNICAL FIELDS SEARCHED (Int. Cl.5)
A	US-A-3 951 514 (J.M. MEDINA) * Abstract; figure 2 *	9,10	G 02 B
A	US-A-3 948 582 (D.J. MARTIN) * Abstract; figure 1 *	9	
A	EP-A-0 280 305 (SIEMENS) * Abstract; column 3, line 35 - column 4, line 42; figures 1,2 *	1,2,6	
The present search report has been drawn up for all claims			
Place of search		Date of completion of search	Examiner
The Hague		25 October 91	SCHEU M.
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document			